



### Malcolm Crompton

### Enforcement of the PDPA: Reality Check for Data Abuser – the Australian Perspective

Personal Data Protection Roundtable and Workshop

**Kuala Lumpur** 

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### **Australian Privacy Law – Overview**

- Privacy Act 1988, also state and issue based law (see www.privacy.gov.au)
- Rights for individuals access, correction, complaints
- Information handling rules for public and private sector for example National Privacy Principles (NPPs)
- Regulators for example the Australian Privacy Commissioner in the Office of the Australian Information Commissioner (OAIC)



### Privacy Commissioner – role & powers

- Privacy Advice to government, organisations
- Information/education for community, organisation
- Investigate/resolve privacy complaints
- Make formal, enforceable determinations
- Investigate systemic issues
- Audits and inspections
- Reporting



(for example see Privacy Act – section 27)

## Enforcement = tools + approach + regulator resources

- Tools:
  - Individual complaints
  - 'own motion' investigations
  - Injunctions
  - Audits (public sector only)
  - Public reports or 'name and shame



### **Enforcement Cont.**

- Enforcement approaches influenced by factors such as nature of law, public concern, resources etc
- see for example

Information Sheet (Private Sector) 13 2001: The Federal Privacy
Commissioner's Approach to
Promoting Compliance with the
Privacy Act

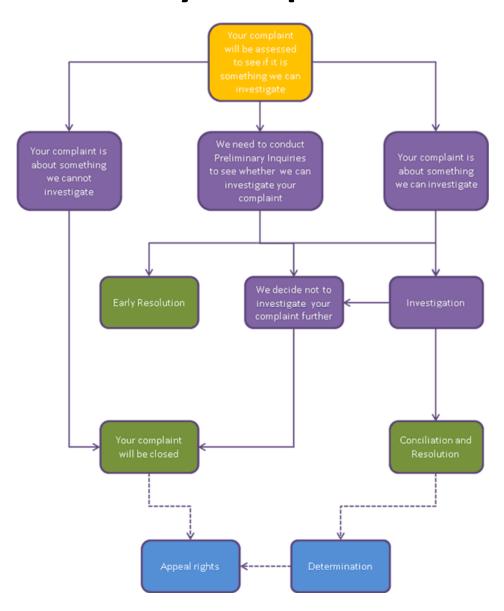




### **Privacy complaints – OAIC process**

- First step complain to organisation
- No resolution complain to regulator
- Regulator powers to investigate and resolve complaints subject to matters such as:
  - Jurisdiction
  - Principles and complaint subject matter
  - Steps organisation has taken
  - What the complainant wants

### **OAIC Privacy Complaint Process**





### Privacy complaints – outcomes and consequences

- See <u>case notes</u> for examples
- Likelihood of complaint low
- Consequences of complaint significant
- Outcome breach or no breach
- If breach:
  - Conciliated outcome apology, training, new systems, compensation (\$800 -\$20,000)
- If no resolution, Privacy Commissioner 'Determination', enforced through courts









### Major data breaches – consequences

- Increasing public, political concern
- Increasing consequences
- More likely to play out in the public arena û risk cost, reputation risk
- See for example <u>Vodafone</u> investigation

First State Super customers
have been left in the dark over a
serious security breach at the
company, saying they only
learned through media reports
that hundreds of thousands of
accounts may have been
exposed



### Privacy Act – next generation

- Significant changes in train
  - Review of the law
    - Framework ok but more strength, consistency needed
  - Government <u>accepts many</u> recommendations:
    - Coming more powers, more sanctions, data breach notification(?)
  - Regulator responding to community concerns, tougher action



#### Resources

- IIS Paper <u>Accountability and the Privacy Act</u>
- Office of the Information Commissioner
- Privacy Complaints Practice And Procedure Manual
- OAIC <u>Case Notes</u>
- OAIC <u>Determinations</u>









### You are not alone

- 76 countries have data protection laws
- OECD <u>Guidelines on the Protection of Privacy & Transborder Flows of Personal Data</u>
- APEC <u>privacy framework</u> & <u>CPEA</u>
- Australian privacy law
- Singapore proposals Discussion Paper
- Philippines proposed Data Privacy Act 2011





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